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Special Arithmetic Groups (A SYMPOSIUM ON COMPLEX MANIFOLDS)

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Special arithmetic groups

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Let V be a finite dimensional vector space defined over the rational number field \mathbb{Q} and let B be a fixed \mathbb{Q} -basis of $V_{\mathbb{Q}}$. We take the functorial point of view that V_k is defined for any overfield k of \mathbb{Q} , and for a subring A of such a field k , and more generally for any commutative k -algebra A , let V_A be the A -span of B . Denote by $GL(V)$ the group of all linear endomorphisms of V and let G be an algebraic subgroup of $GL(V)$ defined over k (i.e., G is the zero locus in $GL(V)$ of certain polynomial functions on $End(V)$ with coefficients in k). We call G a linear algebraic group defined over k , and if A is a subring of k or a commutative k -algebra, let

$$G_A = \{g \in G \mid g \cdot V_A = V_A\},$$

the group of matrices in G having coefficients in A and $\det \in A^{\times}$.

Let G be defined over \mathbb{Q} . A subgroup of $G_{\mathbb{Q}}$ commensurable with $G_{\mathbb{Z}}$ is called "arithmetic", and is called maximal arithmetic if it is not properly contained in a larger arithmetic group. The problem we consider is whether there is some natural class of maximal arithmetic groups in $G_{\mathbb{Q}}$.

Let p be a prime in \mathbb{Q} , \mathbb{Q}_p = p -adic completion of \mathbb{Q} , \mathbb{Z}_p = closure of \mathbb{Z} in \mathbb{Q}_p = unique maximal compact subring of \mathbb{Q}_p .

A subgroup Λ of $V_{\mathbb{Q}}$ commensurable with $V_{\mathbb{Z}}$ is called a lattice in V ; for each p , let $\Lambda_p = \Lambda \otimes_{\mathbb{Z}} \mathbb{Z}_p \subset V_{\mathbb{Q}_p}$. Then Λ_p is an open compact \mathbb{Z}_p -submodule of $V_{\mathbb{Q}_p}$. If Λ' is another lattice in V , then $\Lambda'_p = \Lambda_p \quad \forall p$. Conversely, given for each p an open compact \mathbb{Z}_p -submodule Λ''_p of $V_{\mathbb{Q}_p}$, i.e., a "local lattice", such that $\Lambda''_p = \Lambda_p \quad \forall p$, then there exists exactly one lattice Λ''' in V such that $\Lambda'''_p = \Lambda''_p$ for all p . If K_p is the stabilizer of Λ_p in $G_{\mathbb{Q}_p}$, then K_p is compact and open in $G_{\mathbb{Q}_p}$; conversely, any compact subgroup of $G_{\mathbb{Q}_p}$ stabilizes some local lattice in $V_{\mathbb{Q}_p}$. A subgroup P of G defined over k and containing a maximal, connected triangulizable subgroup B of G is called a k -parabolic subgroup of G . Let K_p be a maximal open compact subgroup of $G_{\mathbb{Q}_p}$. K_p is called "special" if for one (and hence every) minimal \mathbb{Q}_p -parabolic subgroup P of G we have

$$G_{\mathbb{Q}_p} = K_p \cdot P_{\mathbb{Q}_p}.$$

If Λ_p is a local lattice, it is called special if its stabilizer in $G_{\mathbb{Q}_p}$ is special, maximal compact. We assume G to be connected, simply connected, and defined over \mathbb{Q} . Let Γ be an arithmetic subgroup of $G_{\mathbb{Q}}$; then its closure Γ_p in $G_{\mathbb{Q}_p}$ is open and compact $\forall p$ and is known (Hijikata, et al.) to be special, maximal compact

Notation: \forall' means "for almost all", \forall means "for all".

(SMC) $\forall p$. If Γ_p is special, maximal compact $\forall p$, then Γ is called a special arithmetic group, it is easy to see, granted the statements of the preceding sentence, that special arithmetic groups (SAG) always exist (for a given G). If Λ is a lattice in V , it is called special if the stabilizer of Λ_p in $G_{\mathbb{Q}_p}$ is SMC $\forall p$, or, equivalently, if the stabilizer of Λ in $G_{\mathbb{Q}}$ is SAG. (Here we have freely used the theorem of strong approximation.)

We take as our basic problem the classification of outer isomorphism classes of SAG's in G , i.e., the determination of the orbits in the set of SAG's of $\text{Aut}(G)_{\mathbb{Q}}$. In many, but not all, cases it turns out there are only finitely many such classes, in some instances only one. For an example of the latter type, let $G = \text{SL}_2$, $H = \text{GL}_2$, $V =$ two dimensional vector space defined over \mathbb{Q} , and then identify H with $\text{GL}(V)$. Direct calculation shows that for each p there are two conjugacy classes of special maximal compact subgroups in $\text{SL}_2(\mathbb{Q}_p)$ and that these are interchanged by $\text{Ad } \alpha_p$, where $\alpha_p = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}$. Since \mathbb{Q} has class number one, it follows that any two SAG's are conjugate with respect to $\text{Ad}(H_{\mathbb{Q}})$, which may be viewed as a subgroup of $\text{Aut}(G)_{\mathbb{Q}}$. If we replace \mathbb{Q} by a number field k and p by a prime \mathfrak{p} of k , $\alpha_{\mathfrak{p}}$ will be a local element, but not global if \mathfrak{p} does not belong to the principal ideal class; in this case, one may show that the number of outer isomorphism classes

with respect to $\text{Ad}(H_{\mathbb{Q}})$ is $[\mathcal{L} : \mathcal{L}^2] = 2^{c_2}$, where \mathcal{L} is the class group of k and $c_2 = \text{number of } 2^{\text{power}}\text{-cyclic summands of } \mathcal{L}$.

More generally, let G be a connected, simply connected, semi-simple linear algebraic group defined over \mathbb{Q} . We assume $G \subset H \subset \text{GL}(V)$, where H is also connected, defined over \mathbb{Q} , and reductive, such that for a central torus T of H defined over \mathbb{Q} we have $H = T.G$ ($T \cap G$ must then be finite) and such that $\text{Ad}(H_{\mathbb{Q}_p}) = \text{Ad}(G)_{\mathbb{Q}_p}$. Then we investigate the orbits of $\text{Ad}(H_{\mathbb{Q}})$ among the SAG's. For simplicity, we assume G to be almost absolutely simple; the general case may be treated in the same way using the ground-field reduction functor (A. Weil: Adeles and Algebraic Groups, IAS notes, 1961).

Let Γ be a special arithmetic group in $G_{\mathbb{Q}}$ stabilizing a special lattice Λ in V . For each p , let Γ_p be the closure of Γ in $G_{\mathbb{Q}_p}$, which is the same as $\text{Stab}_{G_{\mathbb{Q}_p}}(\Lambda_p)$, and let $\Gamma_p'' = \text{Stab}_{H_{\mathbb{Q}_p}}(\Lambda_p)$. Let G_A, H_A be the adèle groups of G and H (i.e., the infinite direct products $\prod' G_{\mathbb{Q}_p}, \prod' H_{\mathbb{Q}_p}$, restricted with respect to the families $\{\Gamma_p\}, \{\Gamma_p''\}$ of open compact groups of the local factors). Let

$$U'' = H_{\infty} \times \prod_{p < \infty} \Gamma_p'',$$

$$U = G_{\infty} \times \prod_{p < \infty} \Gamma_p;$$

then U'' (resp. U) is open in H_A (resp. in G_A) and U'' normalizes

U. We have

$$H_A = \bigcup_{\alpha \in \mathcal{E}} H_{\mathbb{Q}} \alpha U'', \quad \mathcal{E} \text{ a finite set (A. Borel)}.$$

If Γ' is another SAG, we say Γ and Γ' are in the same genus if for each p there exists $\alpha_p \in H_{\mathbb{Q}_p}$ such that $\text{Ad } \alpha_p(\Gamma_p) = \Gamma'_p$; since $\Gamma_p = \Gamma'_p \quad \forall p$ anyway, we may choose α_p for each prime p such that $(\alpha_p)_p \in H_A$. Hence, there are not more than $\text{card}(\mathcal{E})$ ($< \infty$) classes of SAG's in the genus of Γ . Moreover, the number of genera will be finite if there exists a finite set S of primes such that for $p \notin S$, and for any two SMC's K_p, K'_p of $G_{\mathbb{Q}_p}$, there exists $\alpha_p \in H_{\mathbb{Q}_p}$ such that $\text{Ad } \alpha_p(K_p) = K'_p$. (It should be noted, by the way, that the number of classes of SAG's in a genus may actually be smaller than the number of classes of lattices in a genus of lattices; this is illustrated by our example with $G = \text{SL}_2(k)$, $H = \text{GL}_2(k)$, when the class number of k is even but not a power of 2.)

Calculations indicate that for many such G we may find an H for which the number of genera is finite. An exception appears to be the case of the unitary group of a Hermitian form in an odd number of variable over a quadratic extension of a number field k . In this case, G is of type ${}^2A_{2n}$ and for infinitely many primes* \mathfrak{p} , the extended Dynkin diagram of its restricted, $k_{\mathfrak{p}}$ -relative root system

* I.e., for those primes \mathfrak{p} of k which remain prime ideals in the quadratic extension.

is unsymmetrical with respect to its two special points. (Cf. F. Bruhat and J. Tits: Groupes réductifs sur un corps local, Publ'ns I.H.E.S. v.41. Esp. pp.225-226 and p.30 in regard to a laddering (= échelonnage) of type $C-BC_n^{IV}$).